

Amendments to the Claim

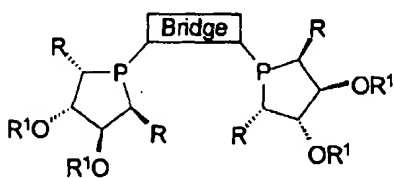
This listing will replace all prior versions and listings of claims in the application:

Listing of Claims

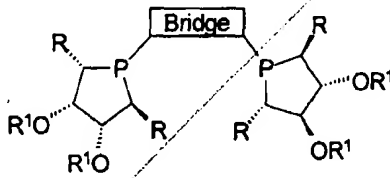
Claims 1-22 (withdrawn) \rightarrow canceled

10/24/02 40

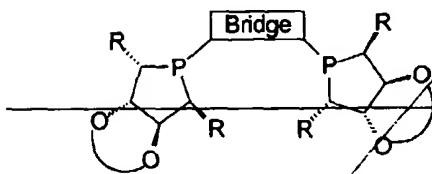
Claim 23. (currently amended) A catalyst comprising a chiral compound in the form of a complex with a transition metal wherein said compound is ~~selected from~~ compounds represented by the formula B, B', D, D' or the corresponding enantiomers:



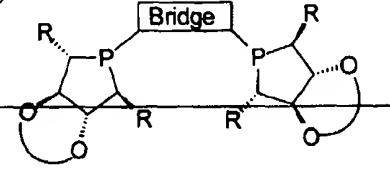
A



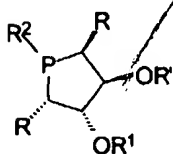
A'



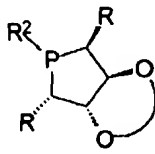
B



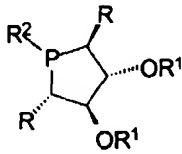
B'



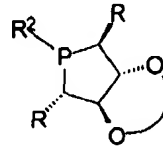
C



D

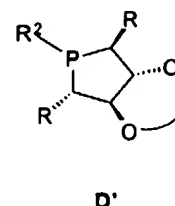
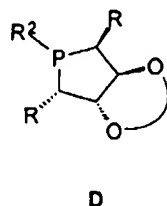
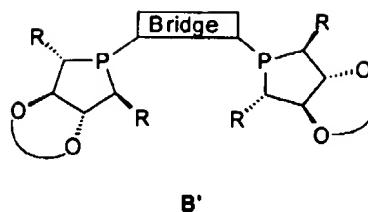
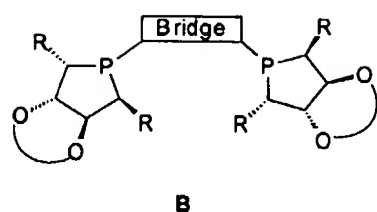


C'




D'

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10/29/02



wherein:

- a) R and R² are independently aryl, alkyl, alkyl aryl, aryl alkyl, or chiral oxazolino which may be substituted with carboxylic acid, alkoxy, hydroxy, alkylthio, thiol or dialkylamino groups;
- b) ~~R¹ can be H, alkyl, silane, aryl, a water soluble unit, or a linked polymer chain or inorganic support; and the ring component~~  ~~O represents a protected diol, a crown ether linkage, -O-alkyl-O- wherein the alkyl group is linked to a polymer, or -O-(CH₂CH₂-O)_n- wherein n is an integer ranging from 1 to 8 and the methylene groups are optionally substituted by C1-C8 alkyl;~~
- c) in formulas B and B', the Bridge may be:

$-(CH_2)_n-$ where n is an integer ranging from 1 to 8;

$-(CH_2)_nX(CH_2)_m-$ wherein n and m are each integers, the same or different, ranging from 1 to 8, and X is O, S, NR^4 , PR^4 , AsR^4 , SbR^4 , divalent aryl, divalent fused aryl, divalent 5-membered ring heterocyclic group, or divalent fused heterocyclic group, wherein R^4 is aryl, alkyl, substituted aryl, or substituted alkyl; or

1,2-divalent phenyl, 2,2'-divalent 1,1'-biphenyl or 2,2'-divalent 1,2'-binaphthyl or ferrocene, each of which may be substituted with aryl, C1-C8 alkyl, F, Cl, Br, I, $COOR^5$, SO_3R^5 , PO_3R^5 , OR^5 , SR^5 , NR^5_2 , PR^5_2 , AsR^5_2 , or SbR^5_2 ;

wherein the substitution on 1,2-divalent phenyl, the ferrocene or biaryl bridge can be independently halogen, alkyl, alkoxy, aryl, aryloxy, nitro, amino, vinyl, substituted vinyl, alkynyl, or sulfonic acids; and

R^5 is ~~hydrogen~~, C1-C8 alkyl, C1-C8 fluoroalkyl, or C1-C8 perfluoroalkyl, aryl; substituted aryl; arylalkyl; ring-substituted arylalkyl; or $-CR^3_2(CR^3_2)_qX(CR^3_2)_pR^1$ wherein q and p are integers, the same or different, ranging from 1 to 8; R^3 is aryl, alkyl, substituted aryl, or substituted alkyl; and X is as defined above.

Claim 24. (original) A catalyst according to claim 23, wherein the transition metal is rhodium, iridium, ruthenium, nickel, or palladium.

Claim 25. (currently amended) A catalyst according to claim 24, wherein said transition metal complex is formed from a compound ~~is a complex with a compound~~ selected from the group consisting of: $Pd_2(DBA)_3$, $Pd(OAc)_2$, $[Rh(COD)Cl]_2$, $[Rh(COD)_2]X$, $Rh(acac)(CO)_2$, $RuCl_2(COD)$, $Ru(COD)(methylallyl)_2$, $Ru(Ar)Cl_2$, wherein Ar is an aryl group, unsubstituted or substituted with an alkyl group; $[Ir(COD)Cl]_2$, $[Ir(COD)_2]X$; and $Ni(allyl)X$; wherein X is a counterion.

Claim 26. (original) A catalyst according to claim 25, wherein X is selected from the group consisting of: F^- , Cl^- , Br^- , I^- , BF_4^- , ClO_4^- , SbF_6^- , $CF_3SO_3^-$, and PF_6^- .

Claim 27. (original) A catalyst according to claim 26 wherein X is PF_6^- .

Claim 28. (original) A catalyst according to claim 24 wherein the transition metal is Ru or Rh.

Claim 29. (original) A catalyst according to claim 28 wherein the transition metal is Rh.

Claim 30. (currently amended) A catalyst according to claim 23, wherein said transition metal complex is formed from a compound selected from the group consisting of: the catalyst comprises: Ru(RCOO)₂(diphosphine), RuX₂(diphosphine), Ru(methylallyl)₂(diphosphine), Ru(aryl group)X₂(diphosphine), Rh(RCOO)₂(diphosphine), RhX₂(diphosphine), Rh(methylallyl)₂ diphosphine, or Rh(aryl group)X₂ (diphosphine) and X is halogen.

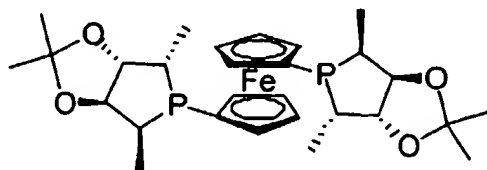
Claim 31. (canceled)

Claim 32. (canceled)

Claim 33. (canceled).

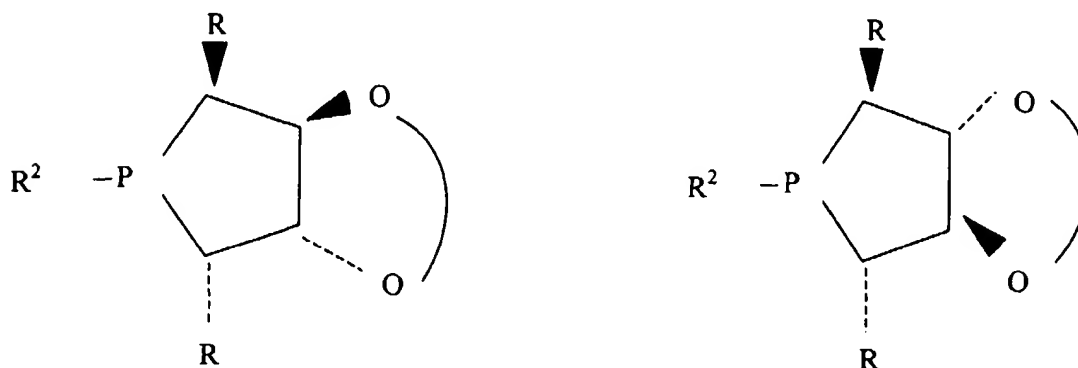
Claim 34. (canceled)

Claim 35. (Previously presented) A catalyst according to claim 23, wherein said chiral compound is represented by the following formula:



24 f-ketalPhos

Claim 36. (currently amended) A catalyst according to claim 23 comprising a transition metal complex of a compound of the following formula or its enantiomer:



wherein

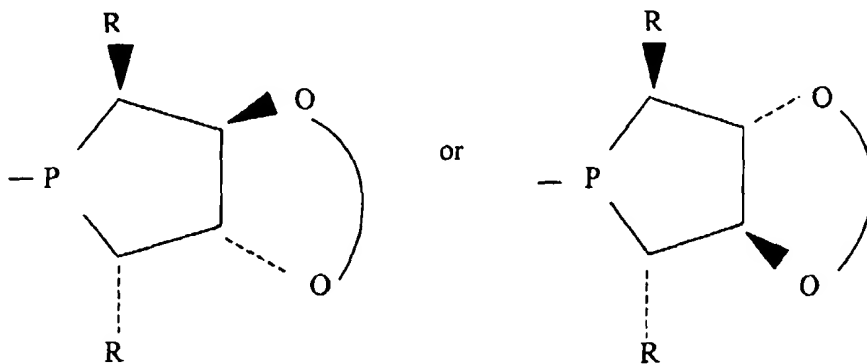
- A) R is C₁-C₈ alkyl, C₁-C₈ alkyl aryl, aryl C₁-C₈ alkyl, aryl, each of which may be substituted with carboxylic acid, alkoxy, hydroxy, alkylthio, thiol, dialkylamino, diphenylphosphino or chiral oxazoline; and
- B) the ring component $\widehat{\text{O}-\text{O}}$ represents a protected diol, a crown ether linkage, -O-C₁-C₈ alkyl-O- wherein the alkyl group is linked to a polymer, -O-(CH₂CH₂)_n-O- wherein n is an integer ranging from 1 to 8 and the methylene groups are optionally substituted by C₁-C₈ alkyl, or O-W-O, where W is BR⁹, POR⁹, PO(OR⁹)₂, SO₂, CO, or Si(R⁹)₂; where R⁹ is C₁-C₈ alkyl, aryl, C₁-C₈ alkyl aryl, or aryl C₁-C₈ alkyl, alkoxy, hydroxy, alkylthio, thio, alkylamino, dialkylamino; and
- C) R² is either R, H, phenyl or a symmetrical bidentate structure having the formula



wherein BRIDGE is

- i) $-(CH_2)_n-$ where n is an integer from 1 to 8; or
- ii) $-(CH_2)_n X (CH_2)_m-$ where n and m are the same or different integers from 1 to 8, and X is O, S, NR^4 , PR^4 , AsR^4 , SbR^4 , divalent aryl, divalent fused aryl, divalent 5-membered heterocyclic ring, or divalent fused heterocyclic ring, where R^4 is C^1 - C^8 alkyl, aryl, substituted aryl, or substituted alkyl; or
- iii) 1, 2-divalent phenyl, 2, 2'-divalent 1, 1'-biphenyl, 2,2'-divalent, 1,1' binaphthyl, or ferrocene, each of which may be substituted independently with $C_1 - C_8$ alkyl or aryl, F, Cl, Br, I, $COOR^5$, SO_3R^5 , $PO_3R^5_2$, OR^5 , SR^5 , NR^5_2 , PR^5_2 , AsR^5_2 , SbR^5_2 , nitro, vinyl, substituted vinyl, alkynyl wherein R^5 is H, C_1 - C_8 alkyl, substituted C_1 - C_8 alkyl, C_1 - C_8 fluoroalkyl, C_1 - C_8 perfluoroalkyl, aryl or substituted aryl; and

wherein Z is a compound selected from the group of compounds having the following formulas and their corresponding enantiomers:



Claims 37-42 (withdrawn) \rightarrow canceled to 10/29/03

Claim 43. (new) A catalyst according to claim 36, wherein the transition metal is rhodium, iridium, ruthenium, nickel, or palladium.

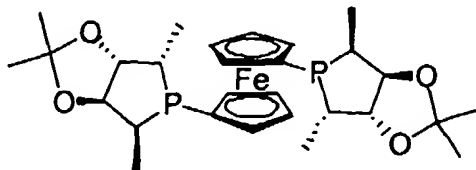
Claim 44. (new) A catalyst according to claim 36, wherein said transition metal complex is formed from a compound selected from the group consisting of:

$\text{Pd}_2(\text{DBA})_3$, $\text{Pd}(\text{OAc})_2$; $[\text{Rh}(\text{COD})\text{Cl}]_2$, $[\text{Rh}(\text{COD})_2]\text{X}$, $\text{Rh}(\text{acac})(\text{CO})_2$; $\text{RuCl}_2(\text{COD})$, $\text{Ru}(\text{COD})(\text{methylallyl})_2$, $\text{Ru}(\text{Ar})\text{Cl}_2$, wherein Ar is an aryl group, unsubstituted or substituted with an alkyl group; $[\text{Ir}(\text{COD})\text{Cl}]_2$, $[\text{Ir}(\text{COD})_2]\text{X}$; and $\text{Ni}(\text{allyl})\text{X}$; wherein X is a counterion selected from the group consisting of: F^- , Cl^- , Br^- , I^- , BF_4^- , ClO_4^- , SbF_6^- , CF_3SO_3^- , and PF_6^- .

Claim 45. (new) A catalyst according to claim 36, wherein said transition metal complex is formed from a compound selected from the group consisting of:

$\text{Ru}(\text{RCOO})_2(\text{diphosphine})$, $\text{RuX}_2(\text{diphosphine})$, $\text{Ru}(\text{methylallyl})_2(\text{diphosphine})$, $\text{Ru}(\text{aryl group})\text{X}_2(\text{diphosphine})$, $\text{Rh}(\text{RCOO})_2(\text{diphosphine})$, $\text{RhX}_2(\text{diphosphine})$, $\text{Rh}(\text{methylallyl})_2$ diphosphine, or $\text{Rh}(\text{aryl group})\text{X}_2$ (diphosphine) and X is halogen.

Claim 46. (new) A catalyst according to claim 36, wherein said compound is represented by the following formula:



24 f-ketalPhos

wherein said transition metal is rhodium, iridium, ruthenium, nickel or palladium;
 and wherein said transition metal complex is formed from: $\text{Pd}_2(\text{DBA})_3$, $\text{Pd}(\text{OAc})_2$;
 $[\text{Rh}(\text{COD})\text{Cl}]_2$, $[\text{Rh}(\text{COD})_2]\text{X}$, $\text{Rh}(\text{acac})(\text{CO})_2$; $\text{RuCl}_2(\text{COD})$, $\text{Ru}(\text{COD})(\text{methylallyl})_2$,
 $\text{Ru}(\text{Ar})\text{Cl}_2$ wherein Ar is an aryl group unsubstituted or substituted with an alkyl group,
 $[\text{Ir}(\text{COD})\text{Cl}]_2$, $[\text{Ir}(\text{COD})_2]\text{X}$ or $\text{Ni}(\text{allyl})\text{X}$, wherein X is a counterion selected from the group
 consisting of: F^- , Cl^- , Br^- , I^- , BF_4^- , ClO_4^- , SbF_6^- , CF_3SO_3^- , and PF_6^- ; or
 $\text{Ru}(\text{RCOO})_2(\text{diphosphine})$, $\text{RuX}_2(\text{diphosphine})$, $\text{Ru}(\text{methylallyl})_2(\text{diphosphine})$, $\text{Ru}(\text{aryl}$
 $\text{group})\text{X}_2(\text{diphosphine})$, $\text{Rh}(\text{RCOO})_2(\text{diphosphine})$, $\text{RhX}_2(\text{diphosphine})$, $\text{Rh}(\text{methylallyl})_2$
 diphosphine or $\text{Rh}(\text{aryl group})\text{X}_2(\text{diphosphine})$, wherein X is halogen.